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### 1 Regular Article

# Conditional genetic deletion of PTEN after a spinal cord injury enhances regenerative growth of CST axons and motor function recovery in mice

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**43** 44

### Q12 Introduction

### ABSTRACT

Previous studies indicate that conditional genetic deletion of phosphatase and tensin homolog (PTEN) in neona-26 tal mice enhances the ability of axons to regenerate following spinal cord injury (SCI) in adults. Here, we assessed 27 whether deleting PTEN in adult neurons post-SCI is also effective, and whether enhanced regenerative growth is 28 accompanied by enhanced recovery of voluntary motor function. PTEN<sup>loxP</sup> mice received moderate contusion 29 injuries at cervical level 5 (C5). One group received unilateral injections of adeno-associated virus expressing CRE 30 (AAV-CRE) into the sensorimotor cortex; controls received a vector expressing green fluorescent protein (AAV-31 GFP) or injuries only (no vector injections). Forelimb function was tested for 14 weeks post-SCI using a grip 32 strength meter (GSM) and a hanging task. The corticospinal tract (CST) was traced by injecting mini-ruby BDA 33 into the sensorimotor cortex. Forelimb gripping ability was severely impaired immediately post-SCI but recov- 34 ered slowly over time. The extent of recovery was significantly greater in PTEN-deleted mice in comparison to 35 either the AAV-GFP group or the injury only group. BDA tract tracing revealed significantly higher numbers of 36 BDA-labeled axons in caudal segments in the PTEN-deleted group compared to control groups. In addition, in 37 the PTEN-deleted group, there were exuberant collaterals extending from the main tract rostral to the lesion 38 and into and around the scar tissue at the injury site. These results indicate that PTEN deletion in adult mice short- Q10 ly post-SCI can enhance regenerative growth of CST axons and forelimb motor function recovery. 40

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47 Axon regeneration in the mature mammalian brain and spinal cord 48 is extremely limited after injury. Consequently, spinal cord injury causes 49 persistent paralysis due to disconnection of descending axons from 50 their normal targets. Presumably, recovery would be improved if axon 51 regeneration could be achieved and if regenerating axons re-52 established functional synapses below the injury level.

53The extent of axon regeneration depends on the intrinsic capacity of mature neurons to re-grow axons (Goldberg, 2004; Sun and He, 2010) Q13 and extrinsic inhibitors in myelin and the glial scar (Tang et al., 2003; 5556Selzer, 2003; Wanner et al., 2008; Cafferty et al., 2010). Intrinsic growth capabilities of neurons are regulated by gene transcription, which in 57turn controls the neuron's protein synthesis critical for axon regenera-5859tion. Intrinsic factors that have been shown to affect axon growth are 60 the components of signaling pathways and include axon growth enhancers such as cyclic adenosine monophosphate (cAMP) (Cai et al., 61

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http://dx.doi.org/10.1016/j.expneurol.2015.02.012 0014-4886/© 2015 Published by Elsevier Inc. 2001; Rodger et al., 2005), mammalian target of rapamycin (mTOR) 62 (Verma et al., 2005) and repressors such as phosphatase and tensin 63 homolog (PTEN), Kruppel-like transcription factors (KLFs) (Dang et al., 64 2000; Moore et al., 2011) and suppressor of cytokine signaling 3 65 (SOCS3) (Smith et al., 2009; Hellstrom et al., 2011). 66

Of the intrinsic repressors, PTEN has emerged as a promising target 67 for manipulations to enable axon regeneration after injury. PTEN is a 68 negative regulator of the PI3K/AKT-mTOR pathway, which plays an 69 important role in controlling cell growth (Sabatini, 2006; Ma and 70 Blenis, 2009). PTEN converts PtIns(3)P to PtIns(2)P reversing the 71 reaction catalyzed by phosphoinositide 3-kinase (PI3K). Inactivation of 72 PTEN results in the accumulation of PtIns(3)P, activating AKT and 73 mTOR, which is a central regulator of cap-dependent protein synthesis 74 and cell growth. 75

Using an optic nerve crush model, Park et al. (2008) demonstrated 76 that conditional genetic deletion of PTEN in the retina promoted the Q14 survival of axotomized retinal ganglion cells and enabled robust 78 regeneration of injured optic nerve axons. Subsequent studies revealed 79 that conditional deletion of PTEN in the sensorimotor cortex of develop- 80 ing mice (at day 1 postnatal) enabled adult corticospinal tract (CST) 81 axons to regenerate following spinal cord injuries at the thoracic level 82 (Liu et al., 2010). An unresolved question, however, was whether this 83

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regeneration was sufficient to support recovery of motor function and
 whether regeneration could be achieved by deleting PTEN in mature
 neurons after an injury.

87 Accordingly, here we assess whether deletion of PTEN after a spinal cord injury in adult mice enables CST regeneration and enhances 88 recovery of motor function. We use an injury model and functional 89 assessments that are thought to measure functions related to the CST, 90 91specifically a moderate contusion injury at C5 and assessments of 015 voluntary forelimb motor function (Aguilar and Steward, 2010). The 93 C5 contusion model is of high relevance for human SCI, because about 94half of the spinal cord injuries in people are at the cervical level, and recovery of upper extremity function is a high priority for individuals 95with such injuries (Anderson et al., 2009). 96

### 97 Materials and methods

### 98 Experimental animals

Experimental animals were adult female mice (PTEN<sup>loxP/loxP</sup> strain 99 C:129S4-Pten<sup>tm1Hwu/J</sup>) (http://jaxmice.jax.org/strain/004597.html) that 100 were between 5 and 7 weeks of age (20–25 g) at the beginning of the 101 experiment. Female mice were used because their bladders are easier 016 103 to manually express following SCI, leading to fewer complications due to urinary tract infections. All procedures involving animals were 104 approved by the Institutional Animal Care and Use Committee 105(IACUC) of the University of California, Irvine. 106

Thirty eight mice received spinal cord injuries on two consecutive 107108 days (13 mice on the first day and 25 mice on the second day). Mice from each cage were assigned to 3 groups by a technician who was 109not involved in either surgeries or AAV-CRE/GFP injections. Groups 110 were: 1) injury only, 2) vector control (AAV-GFP) and 3) PTEN-111 112deleted (AAV-CRE) groups. Assignment to groups was not explicitly 113random; assignment to groups was made at the time the mice were removed from the cage maintaining approximately equal numbers 114between groups. The individuals performing the spinal cord injury 115surgery were blind to group assignment. At the end of the surgery, 116 mice were assigned a code by the technician so that testing could be 117 118 done blind

A total of 3 out of 13 mice operated on the first day of surgery died or 119 were euthanized. Two of those were a result of anesthesia complica-120tions during SCI surgery and one was euthanized due to excessive 121 122 weight loss. A total of 4 out of 25 mice operated on the second day of surgery died or were euthanized, 3 of which were a result of anesthesia 123 complications during SCI surgery and one was euthanized due to 124 125excessive weight loss. Behavior data were included in the analyses for mice that survived until the tracer injection surgeries (see below). 126127Thus, after attrition due to all causes, total animal numbers at the end of all experiments were: injury only group n = 10, vector control 128group n = 10 and PTEN-deleted group n = 11 (Table 1). 129

130 Spinal cord surgical procedures

Q17 The spinal cord injury model was previously described by (Aguilar and Steward, 2010). Mice were anesthetized by intraperitoneal injection of ketamine and xylazine (100 mg/kg and 10 mg/kg, respectively). When supplemental anesthesia was required, onefourth of the original dose was given. Hair overlying the cervical vertebrae was removed by shaving, the skin was incised and the

t8.1	Table 1
t8.2	Final number of mice per group in each experiment.

Group	Injury only	AAV-GFP	AAV-CRE	Total
Exp #1	3	3	4	10
Exp #2	7	7	7	21
Total	10	10	11	31

connective and muscle tissue was dissected to expose the vertebral 137 column from C4 to C6. A dorsal laminectomy was performed on C5. 138 The spinal column was clamped at C4 and C6 using forceps attached 139 to the Infinite Horizon (IH) device platform. The impacting tip (1 mm 140 diameter) was positioned at the middle of the dorsal spinal cord at C5 141 to generate the bilateral contusion. Impact force was 80 kilodynes 142 (kdyn) to produce a moderate contusion. After creating the lesion, the 143 muscle was sutured with 5–0 chromic gut and the skin was closed 144 with 7-mm wound clips. 145

AAV-CRE injections

About 20 min following the contusion injury, mice were 147 transferred to a stereotaxic device, the scalp was shaved and drill 148 holes were placed over the sensorimotor cortex. Mice received 149 unilateral intra-cortical injections of either AAV-CRE to delete PTEN **Q18** or the control vector AAV-GFP  $6.8 \times 10^{10}$  genome copies. Injections 151 were made at 4 sites  $(0.4 \,\mu\text{l/site})$  in the right sensorimotor cortex 152 at 1.0 mm lateral, 0.5 mm deep to the cortical surface and + 0.5, 153 - 0.2, -0.5, and -1 mm with respect to the bregma. The total 154 time required to complete the intra-cortical injections was approxi-155 mately 20 min. Following completion of the injections, the scalp was 156 closed with 4–0 silk suture.

#### Post-operative care

Following the surgeries, the mice were immediately placed on a 159 water-circulating jacketed heating pad at 37 °C. After recovering from 160 the anesthetic, mice were housed 4-5 per cage on Alpha-Dri bedding. 161 For 5-7 days post-injury, mice received lactate Ringer solution (1 ml/ 162 20 g, sub-cutaneously) for hydration, Buprenorphine (0.01 mg/kg) for 163 analgesia, and Baytril (2.5 mg/kg, sub-cutaneously) for prophylactic 164 treatment against urinary tract infections. Animals were monitored 165 twice daily for general health, coat quality (indicative of normal grooming 166 activity) and mobility within the cage. Injured mice typically resume 167 these activities within a few days following injury. Bladders were 168 manually expressed twice/day for the first week and body weight was 169 measured once per week for the remainder of the experiment. Diet 170 supplements (fruit loop cereal) and regular food pellets were placed on 171 the floor of the cage to provide easy access. Nutri-cal (1 ml, Henry Schein, 172 Melville, NY) was administered orally for the first week post-injury. 173

### Behavioral testing

A 3 week handling and pre-training procedure was used prior to SCI, 175 in order to calm the mice and enhance reliability when testing, during 176 which the animals were trained and baselines were collected for all 177 tasks. Behavioral testing was conducted for 14 weeks post-injury as 178 described below for the individual tasks. Testing was done blind with 179 respect to treatment groups except that scalp scarring made it possible 180 to identify mice that received intra-cortical injections but not which 181 vector was injected. 182

#### *Grip strength meter (GSM) task*

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Reliable assessment of gripping ability requires that animals are 184 accustomed to being held. Therefore, the first week was limited to 185 handling each animal for 5 min each day. In week 2, mice were 186 trained on the grip strength meter (GSM) task using a device 187 designed by TSE-Systems and distributed by SciPro, Inc. For testing, 188 mice are held by the tail next to the bar so that they reach out to 189 grip the bar. To test the grip strength of one paw, the opposite 190 forepaw was gently taped with non-stick surgery tape (Micropore<sup>TM</sup> 191 surgical tape from 3M, catalog nr. 1530-0) so that it could not be used 192 for gripping. The dimension of the working piece of tape was 193 approximately  $0.5 \times 0.75$  in. to prevent the tape from hindering 194

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the pull by the opposite forepaw. In the two-paw version, mice are
allowed to grip with both paws simultaneously. Once grip was
established, mice are gently pulled away until they released their
grip.

The grip strength of each paw was tested three times per week (10 trials\_per session). The mice were held facing the bar so that they did not reach at an angle during the trials. Bar height was set as 3.5 mm so that as mice were gently pulled away, they remain suspended just above the surface, but did not drop extensively when they released the bar.

205Each testing session assessed each forepaw separately or both paws 206together until 4 successful grips were recorded for a maximum of 20715 trials per session. A positive grip was scored when the digits 208extended and then flexed upon contacting the bar followed by the digits being extended as the mouse released the bar and landed on the platform. 209 A score of zero was given when a clenched/closed forepaw engaged the 210 bar or if the forepaw landed on the platform in a clenched/closed position. 211 When gripping by both forepaws was assessed, a score of zero was given 212 if grip was only established by only one forepaw. 213

In each session, if the mouse did not grip within the first 10 trials then the mouse was given 0 for the four data points/session/forepaw(s). If the mouse gripped successfully during the first 10 trials, then the testorgin ing continued until 4 successful trials were executed or the maximum of trials was reached. Mice were tested 3 times prior to injury and 2 times per week for 14 weeks post-injury.

#### 220 Hanging task

To assess the ability of the forepaws to grasp and maintain grip, we 221 assessed the ability of mice to hang from a suspended metal rod as previ-222 ously described (Diener and Bregman, 1998) with few modifications. Fol-**O2**0 224lowing handling as described above mice were trained for 2 days before 225collecting the baselines. For testing, the GSM base was placed vertically 226against a wall. The grip strength bar was raised to its maximal height so the mice could not lean against the base. For testing, hindpaws were 227taped to prevent them from being used for climbing atop of the metal 021 bar. We recorded how long the mice were able to hang before falling 229230 onto a pad about 10 in. below. Three trials were collected per session per mouse. If the mouse tried to use any parts of the body to hold onto 231the bar, the mouse's tail was gently pulled so that the mouse only used 232its forepaws to grasp the bar. Testing was performed two times prior to 233injury and every two weeks for 14 weeks post-injury. 234

235 Mini-ruby BDA tracing of CST projections

In order to trace the corticospinal tract, tracer injections were made 236237into the right sensorimotor cortex at 15 weeks post-injury using the same coordinates as for AAV-CRE and AAV-GFP injections. For this pur-238pose, mice were anesthetized using 2.5% isoflurane and positioned in a 022 stereotaxic device, the fur was removed by shaving, the scalp was incised 240and the skull overlying the sensorimotor cortex was carefully removed 241 242with a dental drill. Mini-ruby BDA (dextran, tetramethylrhodamine, and 243biotin: molecular weight 10,000; 10% in dH<sub>2</sub>O (Molecular Probes, Eugene, OR)) was injected into a total of 4 sites  $(0.4 \,\mu\text{J/site} \text{ over a } 3-5 \,\text{min time pe-}$ Q23 245riod) using a 10 µl Hamilton microsyringe tipped with a pulled glass mi-246cropipette. After the injections were completed, the skin overlying the 247 skull was sutured with 4-0 silk, and mice were placed on soft bedding on a water-jacketed warming pad at 37 °C for 4 h after surgery. Behavioral 248 tests were not performed during the time between BDA injections and 249250perfusion.

#### 251 Tissue preparation

At the end of the study, mice were killed humanly with an overdose of Euthasol (0.1 ml/30 g) and perfused transcardially with 4% paraformaldehyde (PFA) in 0.1 M sodium phosphate buffer (Na<sub>2</sub>HPO<sub>4</sub>), pH = 7.4. Spinal cords and brains were dissected and post-fixed in 4% 255 PFA overnight, then immersed in 27% sucrose for cryoprotection 256 overnight, frozen in TissueTek OCT (VWR International) and stored at 257 -80 °C until they were sectioned with a cryostat. 258

Three tissue blocks were prepared from the spinal cords: 1) a tissue 259 block extending from ~4 mm above to 4 mm below the lesion and 260 containing the injury site; 2) the portion of the spinal cord rostral to 261 the tissue block containing the lesion; and 3) the portion of the spinal 262 cord caudal to the tissue block containing the lesion, extending to the 263 caudal most segment. The main block containing the lesion was 264 sectioned at 20 µm in the horizontal plane, and the rostral end of the 265 spinal cord above the injury block and the caudal end below the injury 266 were sectioned transversely. The brains were sectioned at 20 µm in the 267 coronal plane and sections were collected in TBS.

#### Immunostaining to assess PTEN deletion

To address the PTEN deletion following AAV-CRE injection, 270 free-floating coronal sections through the brain were incubated in 1% Q24 hydrogen peroxide for 15 min. After blocking in Tyramide Signal Ampli-272 fication (TSA) blocking buffer (0.5 g blocking reagent/40 ml TBS, 273 PerkinElmer, FP1012) sections were incubated in primary antibody at 274 RT overnight (rabbit anti-PTEN, Cell Signaling 9188S, 1:250). Sections 275 were washed in TBS and incubated with secondary antibody (donkey 276 anti-rabbit HRP, Jackson Immunolabs 711-065-152, 1:250) in TSA 277 blocking buffer for 2 h at RT. Following a wash in TBS, sections were 278 stained with 3-3′ diaminobenzidine (DAB, Vector Labs SK-4100) for 279 5 min, rinsed in TBS, mounted on gelatin subbed slides in weak 280 mounting solution (0.5% gelatin and 0.05% chromium potassium sulfate, 281 Sigma Aldrich, St. Louis, MO), air-dried and cover-slipped with DPX 282 mounting medium.

#### BDA and GFAP immunostaining

The block of the spinal cord containing the lesion was frozen in OCT 285 and sectioned in the horizontal plane at 20 µm thickness, collecting 286 every section, and maintaining serial order during histological 287 processing. All the sections from the block containing the lesion site 288 were co-stained for BDA and glial fibrillary acidic protein (GFAP). BDA Q25 staining was used to confirm interruption of CST axons due to the 290 contusion injury and to analyze spared and regenerating axons. GFAP 291 immunostaining was used for lesion identification. 292

Free-floating sections were collected in PBS. After blocking in 5% nor- 293 mal goat serum in PBS, sections were incubated in primary antibody at 294 4 °C overnight (rabbit anti-GFAP Dako ZO334, 1:1000) in PBS with 5% 295 normal goat serum. The following day, sections were washed in PBS 296 and incubated with the fluorescent secondary antibody (goat anti-rabbit 297 Alexa Fluor 488, Molecular Probes A-11034, 1:250) in PBS with 5% normal 298 goat serum for 2 h at room temperature. Following GFAP immunohisto- 299 chemistry, the sections were processed for BDA amplification signal 300 using the TSA (PerkinElmer, NEL704A001KT) kit. After 15 min wash in 301 PBS and 0.1% Triton X-100 (PBST), sections were incubated in horseradish 302 peroxidase (HRP) conjugated streptavidin (PerkinElmer, NEL750001EA, 303 1:200) in PBST for 2 h at room temperature. The sections were washed 304 three times in PBS and incubated in Cyanine 3 Tyramide reagent 305 (PerkinElmer, FP 1046, 1:100) in amplification diluent (PerkinElmer, 306 FP1052) for 15 min at room temperature. After being rinsed twice in 307 PBS, sections were mounted on gelatin-subbed slides, air-dried and Q26 cover-slipped with Vectashield® mounting medium. 309

Cross sections from the blocks rostral and caudal to the injury were 310 washed twice in 1 × PBS and 0.1% Triton X-100 and incubated overnight 311 at 4 °C with avidin and biotinylated horseradish peroxidase (Vectastain 312 ABC Kit, Vector Labs, Burlingame, CA). The next day, sections were 313 washed twice in PBS, and then reacted with diaminobenzidine tetrahydrochloride with nickel (DAB-N, Vector Labs, SK-4100) for 25 min at 315 room temperature, rinsed in PBS and mounted onto gelatin coated slides, 316

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air-dried, dehydrated and coverslipped with DPX mounting media(Sigma Aldrich, St. Louis, MO).

### 319 Assessment of regenerative growth of corticospinal tract (CST) axons

### 320 Total BDA-labeled axon counts

Cross-sections from the rostral-most block were used to deter-321 mine the extent of CST labeling above the lesion and the number of 322 323 BDA-labeled axon arbors that enter the gray matter of the cervical spinal cord above the lesion. Images were captured on an Olympus 324 325 AX-80 microscope (Olympus Provis) using MagnaFire SP 21B software (Optronics Software, Goleta, CA). The density of BDA 326 labeled axons in the dorsal CST was very high, making it difficult to 327 328 count all axons. Therefore, to estimate axon numbers in dCST, images were taken at  $120 \times$  initial magnification (a  $60 \times$  objective magnified 329  $2 \times$  under oil immersion). The axons were counted using the cell 330 counter analyzer in ImageJ software. For the quantitative assess-331 ments the sample group was disclosed at the end of measurements. 332

### 333 Quantification of regenerative growth

To determine the number of BDA-labeled axons that extended 334 335 into and caudal to the lesion. 20 um horizontal sections through the 336 block containing the injury were examined. Images were captured at  $10 \times$ , and montages were created and exported to Image] software. 337 Perpendicular lines to the dorsal surface were set in the middle of the 338 lesion (0 mm) and at 0.2 mm intervals through the entire spinal cord 339 caudal to the lesion (as illustrated in Fig. 1A). BDA-labeled axons 027 crossing these lines were quantified in three regions through the spi-341 nal cord: dorsal column, lateral column and gray matter caudal to the 342 lesion. The axon counts were summed for each mouse and averaged 343 344 for each group. Data are represented as an index for each category following the formulas: dorsal column (Dc) index = number of **O28** 346 BDA labeled axons in the dorsal column / total number of BDA labeled axons; lateral column (Lc) index = number of BDA labeled 347 axons in the lateral column / total number of BDA labeled axons; 348 349 arbor index (AI) = total number of axon arbors in gray matter caudal 350to the lesion / total number of BDA labeled axons.

### 351 Statistical analysis

Data were analyzed using Prism software by one-way ANOVA or two-way repeated measures ANOVA with Bonferroni correction for multiple comparisons. Results were plotted as means plus or minus SEM.

### 356 Results

### 357 Preoperative performance in the GSM and hanging test

Prior to injury, the average force applied before the mice released the bar of the grip strength meter (GSM) was approximately 45 g for both right and left paws (Table 2). Average values for the GSM remained fairly consistent over testing days once the mice became 361 accustomed to the testing procedure. These values are lower than 362 the average values in a previous study using similar procedures in 363 which the force applied before the mice released the bar was 364 approximately  $60_{-}70$  g (Aguilar et al., 2011). This may be due to Q29 the different genetic background of the mice because the PTENff 366 mice are of mixed genetic background but mainly FVB whereas the 367 mice used by Aguilar et al. were C57Bl/6. To test this possibility, the Q30 same testing procedures were used to test a group of mice from our 369 breeding colony that are of C57Bl/6 genetic background. The values 370 obtained were about 60 g (58.6  $\pm$  1.47 SEM left paw and 56.5  $\pm$  371 1.78 SEM right paw) suggesting that variation in values between 372 our experiment and the previous publication reflects differences 373 between strains.

In the hanging task, mice retained their grip on the bar for an 375 average of about 60 s before falling; the average hanging time was 376 similar between the groups on the last pre-operative testing day 377 during which baseline data were collected (Table 3). Preoperative 378 hanging time values were recorded once following two sessions of 379 training, so only one preoperative value is available. 380

### **Contusion Injuries**

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Fig. 2 illustrates the method of tissue preparation and examples of 382 lesion sites in horizontal sections of the spinal cord imaged for GFAP. 383 Most lesions were filled in with a fibrous tissue matrix (23 out of 31) 384 but small cystic cavities were found along with the fibrous matrix in 8 385 mice (Figs. 2B–C). In 5 mice, the lesions were obviously asymmetric, 386 and in one mouse, the lesion was incomplete; data from these mice 387 were excluded from the behavioral analyses and analysis of CST axon 388 distribution. Table 4 summarizes lesion characteristics for each mouse 389 in this study. 390

### General health following moderate contusion injuries

For the first few days following the moderate bilateral cervical 392 contusion and AAV-CRE or -GFP injections, mice were significantly 393 impaired and required attention and care. One day after the injury, 394 mice exhibited limited spontaneous locomotion but they were able 395 to right themselves and raised their heads to eat and drink. Within 396 2 days mice began moving their body with weight bearing by the 397 hindlimbs but movement was slow and there was a minimal use of 398 the forelimbs. Recovery progressed so within 5 days, mice were 399 able to move around their cage although forepaw use was limited. 400

To provide a quantitative measure of general health, mice were 401 weighed just prior to injury and throughout the post-injury survival 402 period. During the first two weeks post-injury mice lost about 2–3% 403 of their pre-injury body weight except in two cases. Mouse #2 from 404 the PTEN-deleted group lost 16% body weight but recovered by 405 3 weeks post-injury; mouse #25 from the injury only group lost 406 17% by week 2 and 29% by week 3 and was euthanized. 407



Fig. 1. Experimental design. The timeline indicates periods during which different procedures were carried out.

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#### t2.1 Table 2

t2.2 Pre-injury gripping force (g) values for both left and right forepaws expressed as a mean  $\pm$  SEM, n = 8–9 per group.

2.3	G <mark>roups</mark>	Gripping force (left paw)		Gripping force (ri	Gripping force (right paw)		
2.4		Days pre-injury	Days pre-įnjury		Days <mark>p</mark> re-injury		
2.5		-10	-6	-5	-10	-6	-5
2.6	Injury <mark>only</mark>	$49.06 \pm 1.8$	$47.91 \pm 2.38$	$48.22 \pm 1.4$	$44.34\pm2$	47.13 ± 2.7	$44.6 \pm 1.9$
2.7	AAV-CRE	$48.4 \pm 1.86$	$40.97 \pm 1.8$	$42.78\pm2.8$	$39.06 \pm 2.3$	$40.44 \pm 2.8$	$42.58 \pm 2.6$
2.8	AAV-GFP	$43.75 \pm 2.5$	$44 \pm 3.82$	$49.06 \pm 4$	$38.38 \pm 2.8$	$38.91 \pm 3.3$	$42.22 \pm 3.6$
2.9	Repeated measures ANOVA	F = 1.22 p = 0.3136			F = 1.7 p = 0.2057		

#### 408 Assessment of forelimb motor function

409 Grip strength meter

In considering the results from the mice in which PTEN was deleted,
it is important to note that AAV-CRE was injected unilaterally into the
right motor cortex. This was done in order to be able to compare recovery of the paw normally controlled by the PTEN-deleted cortex (the left
paw) vs. the contralateral side. Accordingly, data are presented separately for each paw in Figs. 3A & B.

Griping ability in left and right paws as measured by the GSM was 416 severely impaired at 7 and 14 days post-injury in all 3 groups (Figs. 3A 417 & B). In both control groups, grip strength remained low in both paws 418 until about 56 days, when the left paw began to show some recovery 419of strength (Fig. 3A). At 35 days post-injury the average grip strength 420 of the left paw was 6.97  $\pm$  4.65 SEM in the injury only group while in 421 422 the vector control group the mice did not grip (0  $\pm$  0 SEM). By 423 56 days post-injury, average grip strength was  $10.14 \pm 10.14$  SEM in the injury only group and 4.38  $\pm$  4.38 SEM in the AAV-GFP group. 424 425There was some additional increase in the average grip strength of the left paw for the 2 control groups from 77 to 98 days post injury 426 427(Fig. 3A). In contrast, the grip strength of the right paw remained low for both control groups throughout the post-operative testing period 428 (average of 10 or less, see Fig. 3B). Differences in gripping ability be-429tween left and right paws in the control groups indicate asymmetry in 430 431functional loss, which may reflect asymmetry in lesions.

The pattern of the recovery of gripping ability was much different in 432 mice that received AAV-CRE. In particular, the left paw of AAV-CRE 433 treated mice recovered grip strength earlier and reached a higher level 434 at late post-lesion intervals than either the right paw or either paw of 435the control groups (Fig. 3A). By 21 days post-injury, the average grip 436 strength of the PTEN-deleted group was  $17.41 \pm 7.58$  SEM whereas 437 the mice in both control groups did not grip ( $0 \pm 0$  SEM in both). At 438 35 days, average grip strength in PTEN-deleted mice was almost half 439 of the preoperative value (25.68 + 7.38 SEM) and at 56 days the grip-440 ping force was  $35.68 \pm 11.41$  SEM. By 84 days, values for the PTEN de-441 leted group were actually higher than the preoperative baseline 442  $(53.85 \pm 11.42 \text{ SEM})$  and became significantly different than those 443from the right paw (p < 0.05) and this persisted until the end of testing 444  $(52.06 \pm 13.36 \text{ SEM})$ . While the increase in gripping recovery with the 445 446 left paw in the PTEN-deleted group was substantially higher through our testing time when compared with control groups, differences 447

t3.1	Table 3
t3.2	Pre-injury hanging time values (s) in all three groups expressed as
t3.3	mean $\pm$ SEM, n = 8–9 per group.

Groups	Hanging <mark>t</mark> ime (s)
Injury only	$62.78 \pm 9.48$
AAV-CRE	$56.58 \pm 6.98$
AAV-GFP	$55.04 \pm 7.94$
One way ANOVA	p = 0.787

were not significantly different from the control vector until 98 days 448 post-injury (repeated measures ANOVA: F = 2.87; p < 0.05, Fig. 3A). Q31

To better illustrate the differences in recovery patterns between 450 paws, Fig. 4 directly compares data for left vs. right paws in the 3 groups. 451 In the AAV-CRE group, the gripping force of the left paw was consistent-452 ly higher than the right paw from 21 days post-injury. Post hoc compar-453 isons with Bonferroni correction revealed that differences were 454 statistically significant at 77 and 84 days (repeated measures ANOVA: 455 F = 5.61, p < 0.05, see Fig. 3D). Although the gripping force for the left 456 paw in the two control groups was also slightly higher across the testing 457 period suggesting some asymmetry in lesions, these differences were 458 not statistically significant in either the injury only or vector control 459 group (repeated measures ANOVA: F = 1.91; p = 0.18) or AAV-GFP 460 group (F = 1.32; p = 0.27, Figs. 3E & F).

Since gripping force with both paws simultaneously is a reflection of 462 both left and right paws' gripping ability we next assessed the grip 463 strength with both paws for all three groups. As observed with individ-464 ual forepaws, the gripping force with both paws was impaired until 465 77 days, in both vector control ( $0 \pm 0$  SEM) and injury only (4.45  $\pm 0$  466 SEM) groups (Fig. 3C). There was slight recovery at about 84 days 467 post-injury as observed in left and right paws in both controls. In the 468 PTEN deleted group, there was some recovery of gripping with both 469 paws simultaneously at 35 days ( $10.51 \pm 10.51$  SEM); gripping force 470 remained fairly constant up to 77 days ( $13.19 \pm 13.19$  SEM), increasing 471 slightly thereafter until the final testing day when griping force was 472  $28 \pm 15.72$  SEM (repeated measures ANOVA: F = 1.15 and p = 0.3354). 473

### Hanging ability

Mice were not tested for their ability to hang from the bar until 475 14 days post injury, at which time, hanging ability was impaired to 476 the same extent in all groups (Fig. 4). Mice failed to grasp with both 477 paws leading to falls. Average hanging time increased slightly at 478 28 days post-injury in the injury only group, but then remained stable 479 at longer post-injury intervals. In the AAV-GFP group, average hanging 480 time remained low until about 56 days post-injury when it increased 481 slightly and then remained stable for the remainder of post-injury test- 482 ing. In contrast, hanging time increased progressively over time in the 483 PTEN-deleted group and was statistically different from control vector 484 at 42 and 84 days (p < 0.05). On the final testing day, hanging times 485 for control groups were 26.28  $\pm$  7.7 SEM (AAV-GFP) and 28.33  $\pm$  9.37 486 SEM (injury only), vs. 66.5  $\pm$  13.79 SEM for the PTEN-deleted group. Re- 487 peated measures ANOVA revealed an overall difference between groups 488 (F = 3.79; p = 0.0386); post-hoc assessments with Bonferroni correc- 489 tion revealed that on the final day of testing, the PTEN deleted group dif- 490 fered significantly from both control groups (p < 0.01 and p < 0.05 491 respectively). 492

#### Documentation of PTEN deletion by immunostaining

In sections through the sensorimotor cortex that were immuno- 494 stained for PTEN, the area of PTEN deletion was evident as a blank 495 area in which there was no immunostaining (Figs. 5A–C). The area of 496

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Fig. 2. Representative examples of lesions at the lesion epicenter after an 80 kdyn cervical contusion. A) Tissue block preparation for brains and spinal cords. B–D) Images of GFAP immunostained spinal cords with a fibrous filled lesion (B) and mixed fibrous/cystic cavities (C–D). ftm: fibrous tissue matrix; cc: cystic cavity; asterisk represents the lesion site; scale bar: 200 µm.

#### t4.1 **Table 4**

t4.2 Comprehensive description of spinal cord injury conditions and lesion types.

t4.3	Animal #	Experimental group	Force (kdyn)	Type of lesion
t4.4	Exp 1 #2	AAV-CRE	82	Fibrous/asymmetric
t4.5	Exp 1 #3	Injury <mark>only</mark>	85	Fibrous/cystic cavity
t4.6	Exp 1 #4	AAV-CRE	84	Fibrous
t4.7	Exp 1 #6	Injury only	84	Fibrous
t4.8	Exp 1 #7	AAV-CRE	86	Fibrous
t4.9	Exp 1 #9	AAV-GFP	80	Fibrous
t4.10	Exp 1 #12	AAV-GFP	80	Fibrous
t4.11	Exp 1 #14	AAV-CRE	89	Fibrous
t4.12	Exp 1 #29	Injury <mark>only</mark>	81	Fibrous/cystic cavity/incomplete
t4.13	Exp 1 #5	AAV-GFP	84	Fibrous/asymmetric
t4.14	Exp 2 #17 (2B33)	AAV-CRE	80	Fibrous
t4.15	Exp 2 #10 (377B)	Injury only	84	Fibrous
t4.16	Exp 2 #11 (5448)	AAV-GFP	85	Fibrous
t4.17	Exp 2 #22 (4909)	AAV-CRE	82	Fibrous
t4.18	Exp 2 #16 (2A6E)	AAV-GFP	80	Fibrous
t4.19	Exp 2 #15 (6240)	Injury <mark>only</mark>	84	Fibrous/cystic cavity/asymmetric
t4.20	Exp 2 #28 (0E08)	AAV-CRE	85	Fibrous 🕇
t4.21	Exp 2 #23 (613B)	AAV-GFP	81	Fibrous/cystic cavity
t4.22	Exp 2 #19 (6041)	Injury <mark>only</mark>	86	Fibrous/cystic cavity
t4.23	Exp 2 #32 (5750)	AAV-CRE	81	Fibrous/asymmetric
t4.24	Exp 2 #30 (360F)	AAV-GFP	81	Fibrous/asymmetric
t4.25	Exp 2 #21 (1243)	Injury <mark>only</mark>	81	Fibrous
t4.26	Exp 2 #34 (734A)	AAV-CRE	85	Fibrous/cystic cavity
t4.27	Exp 2 #33 (7A2B)	AAV-GFP	83	Fibrous 🔶
t4.28	Exp 2 #31 (2054)	Injury <mark>only</mark>	87	Fibrous
t4.29	Exp 2 #37 (7147)	AAV-CRE	82	Fibrous/cystic cavity
t4.30	Exp 2 #35 (4509)	AAV-GFP	83	Fibrous 🕇
t4.31	Exp 2 #36 (2D7F)	Injury <mark>only</mark>	80	Fibrous
t4.32	Exp 2 #38 (440E)	AAV-CRE	84	Fibrous/cystic cavity
t4.33	Exp 2 #24 (2A48)	Injury <mark>only</mark>	88	Fibrous 🕇
t4.34	Exp 2 #27 (6962)	AAV-GFP	84	Fibrous

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**Fig. 3.** Forepaw gripping function as measured by the GSM after C5 contusion injury. A) Comparison of average gripping force by the right forepaw in each group before injury (negative numbers) and at different times post-injury. B) Comparison of average gripping force by the right forepaw in each group. C) Comparison of average gripping force when both forepaws are tested together. Note enhanced gripping force of the right forepaw in the PTEN deleted group, and similarity of gripping force in the different groups when measuring the left forepaw. The gripping force values represent the average of 2 trials per week. Panels D, E and F illustrate a direct comparison between left and right forepaws in the different groups. D) PTEN-deleted group; grip strength of the left paw recovered earlier and to a greater extent than the grip is prength of the right paw. E) Injury only group: grip strength was comparable in both paws until about 60 days post-injury. There were no statistically significant differences between the values for the two paws by repeated measures ANOVA (F and p values are shown on the graph). Results are presented as a mean  $\pm$  SEM, n = 8–9 per group. Data were analyzed using repeated measures ANOVA followed by Bonferroni posttests: \***p** < 0.05, statistically significantly different from AAV-GFP group (A) and when compared with right paw (D).

PTEN deletion ranged from 100 µm (anterior/posterior) to 1200 µm
(dorsal/ventral). Table 5 documents the area of PTEN for each animal
in this study.

### 500 Assessment of regenerative growth of CST axons

Our goal was to trace CST projections from cortical motoneurons in 501which PTEN had been deleted. Accordingly, we assessed whether BDA 502 injections targeted the area of PTEN deletion (Figs. 5E-G) or targeted 503parts of the cortex in which PTEN expression was maintained. For this 504purpose, series of sections were immunostained for PTEN and nearby 505sections were imaged for mini-ruby BDA fluorescence. Figs. 5I-K illus-506trates examples in which mini-ruby BDA fluorescence overlapped 507with an area of PTEN deletion. BDA-labeled neurons were evident in 508509most cases, whereas in one case (Fig. 5E) the BDA labeling was diffuse. Table 6 summarizes the degree of overlap between the mini-ruby BDA 510 injection sites and area of PTEN deletion in the different cases. In all an-511 imals there was good overlap between the BDA injection site and area of 512 PTEN deletion at the two anterior injection sites 0.5 mm and -0.2 mm 513 anterior/posterior (A/P) with respect to bregma. However in two cases 514 the cortex was damaged during the surgical procedures so the overlap 515 could not be assessed. Fig. 5D illustrates a case with a small lesion sur-516 rounding the needle track with no overlap between BDA and PTEN 517 deletion (Fig. 5L). At the posterior 2 injection sites -0.5 mm and -0.2 mm 4.7 mm A/P, the mini-ruby BDA injection clearly targeted part of the 519 cortex in which PTEN expression was maintained. In two mice, the ex-520 tent of overlap could not be assessed because of damage to the section. 521 In the cases in which the BDA injection did not overlap the area of PTEN 522 deletion, some of the BDA labeled CST axons in the spinal cord would 523 originate from cortical motoneurons with preserved PTEN expression. 524

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Fig. 4. PTEN deletion 20 min post-injury enhances grasping ability of PTEN<sup>lox/P/lox/P</sup> mice. The graph represents the hanging time (s) before and 98 days post injury in all three groups. Note that the hanging time that reflects the grasping ability remains steady through the study in control groups while in the PTEN deleted group the hanging time increases progressively over time. The results are presented as mean ± SEM, n = 8–9 per group. Data were analyzed using repeated measures ANOVA with Bonferroni as post-hoc test. Values of \*p < 0.05 and \*\*p < 0.01 were considered statistically significantly different when compared with control groups.</li>

#### 525 Distribution of BDA-labeled CST axons in the spinal cord

The overall extent of BDA labeling of CST axons in the spinal cord 526was first assessed in cross sections taken rostral to the lesion. In 18 527528mice, there were large numbers of BDA-labeled axons in the ventral part of the dorsal column (the main component of descending CST 529axons) on the side contralateral to the injection. Figs. 6A-C illustrates 530different cases with heavy labeling. In these and other cases, the labeled 531axons in the DCST were too numerous to count accurately. In 5 mice, the 532533overall extent of labeling was sparse (an example of a case with sparse labeling is illustrated in Fig. 6D); these mice were not included in the 534analyses of CST axon distribution. 535

BDA labeled CST axons were also found in the dorsal part of the lat eral column (dorsolateral CST) (Table 7) and a small number of labeled
 axons were also seen in the dorsal column ipsilateral to the injection. In
 one mouse from the vector control group an unusually large number of

axons were found in the ventral part of the dorsal column ipsilateral to 540 the injection (the "wrong" side, see Fig. 6A), while in 8 out of 23 mice 541 the average number of BDA-labeled CST axons in the dCST ipsilateral 542 to the cortex of origin ranged between 12 and 43 (Fig. 6C). In the rest 543 of mice (14 out of 23) the number of BDA-labeled axons in the dCST ip- 544 silateral to the injection ranged between 0 and 8 (Fig. 6B). There were 545 no BDA labeled axons tracking along the ventral column ipsilateral to 546 the injection in the position of the ventral CST in the control groups, al- 547 though some collaterals extended down into the ventral column from 548 the gray matter on the side contralateral to the injection as previously 549 reported (Steward et al., 2008). In two mice in the PTEN deleted Q33 group, however, a few BDA labeled axons were seen tracking along 551 the ventral column (Table 7).

Next, we examined cross sections from the caudal block (Figs. 6E-H)  $_{553}$  for the presence of BDA-labeled axons in the dorsal column, which  $_{554}$ 



Fig. 5. PTEN deletion and mini-ruby BDA labeling in brain coronal sections in PTEN-deleted mouse. Panels A–C illustrate patterns of PTEN deletion in different mice after AAV-CRE injection into the right sensorimotor. Note brown PTEN labeled neurons surrounding the area of PTEN deletion. Panels E–H illustrate mini-ruby BDA labeling in the same section. Panels I–K illustrate the mini-ruby-BDA overlapped with an area of PTEN deletion. Note intense BDA labeling in PTEN deleted neurons. Panels D–L indicate a case with a small lesion surrounding the needle track with no overlap between BDA and PTEN deletion. The arrows indicate the PTEN deleted neurons. Scale bar: 250 µm.

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t5.1 Table 5

t5.2 Comprehensive description of PTEN-deleted area in sensorimotor cortex.

	Animal #	PTEN-deleted area (µm)			
		Anterior_posterior	Dorsal <mark>-v</mark> entral		
-	#4	200-300	800		
	#7	100-200	600		
	#14	200	1200		
	#2B33	200	600		
	#734A	100-200	1000		
	#4909	100	600		
	#7147	200	800		
	#440E	100-200	800		
	#0E08	100-300	1100		

would indicate incomplete destruction of the dorsal CST. In 15 of 23
mice, there were no labeled axons in the dCST indicating that the contusion injury destroyed the main component of CST axons in the dCST
(Fig. 6G), while in 8 out of 23 mice, there were very few labeled axons
between 1 and 5 (Figs. 6E–F). Fig. 6H shows an example of poor BDA labeling. No BDA labeled axons were found tracking along the ventral column in the expected position of the ventral CST (Figs. 6E–H).

Next we evaluated the collections of serial horizontal sections 562 563 through the lesion block. Fig. 7 shows serial horizontal sections from injury only (Figs. 7A–C) and vector control (Figs. 7D–F) groups. In all 564cases, the main component of BDA-labeled axons in the dorsal CST 565was largely destroyed. BDA labeled axons in the dorsal column termi-566 nated in retraction balls rostral to the injury, typical for injured axons 567in control groups. BDA labeled axons could be seen extending past the 568 lesion in the dorsal part of the lateral column (the dlCST) and in some 569cases BDA-labeled axon arbors could be seen extending from the 570571dlCST into the gray matter in caudal segments in control groups (Fig. 8). Thus, the BDA labeled axon arbors in caudal segments in the 572573control groups likely originate from the dlCST.

574The AAV-driven expression of GFP can be used for orthograde trac-575ing of axons. To determine whether GFP labeling could be used to trace CST axons in mice that received AAV-GFP, sections rostral to the 576 577injury were immunostained for GFP. Only a few GFP-positive axons 578 were detected in the location of the dCST, and no GFP-labeled axon arbors were detectable. The lack of GFP-labeling is likely due to the fact 579that AAV-driven expression decreases over time and would likely be 580 minimal at the long survival times here (data not shown). 581

In contrast, CST axon distribution was qualitatively different in the PTEN-deleted group (Figs. 7G–I). Abundant collaterals extended from the main tract rostral to the lesion, and some extended across the midline and into or around the scar tissue at the injury site. BDA-labeled axons were also present in the ventral gray matter caudal to the lesion in PTEN-deleted mice. BDA labeled axons were also observed extending bilaterally caudal to the lesion while others had an abnormal trajectory

6.1	Table 6	
6.2	PTEN/BDA co-localization at different injection sites: 0.5 mm, -1 mm, -0.5 mm and -	- 1
6.3	mm anterior/posterior (A/P) with respect to bregma.	

t6.4	Animal #	Injection site #1	Injection site #2	Injection site #3	Injection site #4
t6.5	#4	Yes	Yes	Yes	Yes
t6.6	#7	Yes	Yes	Cortex	No
				damaged	
t6.7	#14	Yes	Yes	Yes	Yes
t6.8	#2B33	Yes	Yes	Yes	No
t6.9	#734A	Yes	Yes	Yes	Yes
t6.10	#4909	Yes	Yes	No	No
t6.11	#7147	Yes	Yes	Yes	Yes
t6.12	#440E	Cortex	Yes	Cortex	No
		damaged		damaged	
t6.13	#0E08	Yes	Yes	Yes	Yes

with axons located outside their normal topography suggesting regenerative growth (Fig. 9A). In one mouse with the mixed matrix/cavity, 590 BDA labeled axons extended into the scar, forming a bridge between two parts of the lesion (Fig. 9B). 592

### Quantitative assessments of CST axons

For the quantitative analysis, we quantified the axons extending into 594 and caudal to the lesion site in the serial horizontal sections. CST axons 595 were assessed at 0.2 mm intervals through the entire spinal cord caudal 596 to the lesion side in three different regions as follows: dorsal column, 597 lateral column and gray matter (Fig. 10A). To control for differences in 598 the overall extent of BDA labeling, we counted the total number of 599 BDA-labeled axons in cross sections from the rostral part above the lesion. 601

As previously reported (Aguilar et al., 2011) the C5 contusion injury Q34 destroyed almost all CST axons in the dorsal column in all three groups 603 as revealed by counts of BDA labeled axons in the dorsal column at dif-604 ferent distances from the injury site (Fig. 9). The counts are expressed as 605 the dorsal column (Dc) index in which the number of axons in the dor-606 sal column caudal to the injury is divided by the total number of BDA-607 labeled axons rostral to the injury. The Dc index was very small 608 among groups: about 0,001 at 1 mm, and 0.004 at either 2 or 3 mm dis-Q35 tance from the injury side (F = 1.02, p = 0.3884). 610

As shown in previous studies (Aguilar et al., 2011) the C5 contusion Q36 injury model usually spares the dICST, and our results confirmed this. 612 Counts of BDA-labeled axons in the dorso-lateral column are expressed 613 as the Lc index (number of axons in the lateral column caudal to the in- 614 jury divided by the total number of BDA-labeled axons rostral to the in- 615 jury). The Lc index was on average 0.02 at the lesion site and 0.02 at Q37 1 mm, 0.024 at 2 mm and 0.017 at 3 mm beyond the lesion site (repeat- 617 ed measures ANOVA: F = 0.24, p = 0.7889) (Fig. 10C). 618

To quantify axon arbors in the gray matter caudal to the injury, 619 counts were expressed as the arbor index (AI), in which arbor counts 620 were divided by the total number of BDA-labeled axons rostral to the in- 621 jury. The AI was 0.29 at the middle site of the lesion (0 mm) in the PTEN 622 deleted group compared with 0.014 or 0.029 in the injury only or con- Q38 trol vector group, respectively (p < 0.001). In PTEN deleted mice, the 624 number of BDA labeled axons was significantly different from controls 625 at every distance up to 1.4 mm caudal to the lesion (F = 8.46, p = 626 0.0051) and still higher than controls at 2 mm as shown in Fig. 10D. 627 There was no statistically significant difference in the AI between exper- 628 imental groups at 3 mm and 4 mm distance from the lesion (repeated 629 measures ANOVA: p > 0.05).

### Discussion

Our goals in this study were to determine whether conditional ge- 632 netic deletion of PTEN in mature cortical motoneurons can enable re- 633 generative growth of CST axons after SCI, and whether enhanced 634 regeneration would improve forelimb gripping and grasping function 635 in a clinically-relevant model (C5 contusion). Our results reveal that 636 mice that received AAV-CRE injections to delete PTEN 20 min after a 637 moderate contusion at C5 exhibited enhanced gripping and grasping 638 performance in tasks in which the CST is thought to be critical and en- 639 hanced the regenerative growth of the CST in comparison to control 640 groups. This supports the conclusion that it is possible to enhance re- 641 generative growth by deleting PTEN in adult neurons and in a time Q39 frame that is more therapeutically relevant than previous approaches 643 in which PTEN was deleted at P1, long before the time of a spinal cord 644 injury. In what follows, we discuss these findings in the context of pre- 645 vious studies and consider caveats. 646

The effect of PTEN gene deletion in enhancing axon regeneration has 647 been described before in different models. In an optic nerve crush 648 model, Park et al. (2008) showed that conditional genetic deletion of 649 PTEN in adult retinal ganglion cells (RGCs) is sufficient to initiate the 650

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**Fig. 6.** Examples of BDA labeling in cross sections of spinal cord rostral (A–D) and caudal (E–H) to the lesion. Panels A–D illustrate different cases of BDA-labeling in cross sections taken rostral to injury and the representative caudal parts are presented in panels E–H. Different patterns of the BDA labeling in the ventral part of the dCST ipsilateral to the injection site: (A) heavy labeling, (B) 7 axons and (C) 43 axons. Panel (D) shows an example of poor labeling of the dCST. Panels E–G illustrate BDA-labeled axons in the dlCST and gray matter in the caudal cross sections. The lack of axons in the dorsal column indicates the complete destruction of the main tract of dCST. No BDA-labeled axons were found in the ventral column. Panel H shows the caudal cross sections from a case with a poor labeling (dc = dorsal column and vc = ventral column); scale bar: 100 µm.

regenerative program for axon growth. Next, Liu et al. (2010) demonstrated that conditional genetic deletion of PTEN in the sensorimotor cortex at P1 enhanced CST regeneration following two types of spinal cord injuries in adult mice: a dorsal hemisection and a complete crush at thoracic level 8 (T8). Recently Zukor et al. (2013) showed that deletion of PTEN in neonatal mice (P0/P1) using shRNA against PTEN (AAV-shPTEN-GFP) also enabled regenerative growth of CST axons following spinal cord injury at T8 in adults. Also, Ohtake et al. (2014) 658 demonstrated that PTEN inactivation using systemic PTEN antagonist 659 peptide (PAP) treatment after a dorsal hemi-transection injury at T7 660 led to increased density of serotonergic fibers in the caudal spinal cord 661 and enhanced sprouting of CST axons rostral to the lesion. These latter 662 studies represent another step toward establishing clinical relevance 663 by showing that the expression of native PTEN can be knocked down 664

### t7.1 Table 7

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t7.2 The number of BDA-labeled axons in rostral and caudal parts. The numbers represent the t7.3 average of counts per 2–3 sections.

Animal	Rostral	part		Caudal	part		
#	dCST	dICST	Ventral column	dCST	dlCST	Gray matter	Ventral column
#9	1	2	0	0	14	0	0
#2A6E	0	0	0	0	0	0	0
#6962	1	0	0	0	0	0	0
#4509	92	13	0	5	19	52	0
#613B	12	8	0	0	9	6	0
#0E08	2	12	0	1	3	14	0
#7147	0	0	0	0	3	3	0
#3	2	23	0	0	15	11	0
#5448	2	11	0	2	0	0	0
#2B33	6	8	0	0	0	2	0
#734A	17	21	2	0	14	19	0
#4909	12	20	1	3	11	10	0
#2D7F	6	12	0	1	51	47	0
#7A2B	2	4	0	0	1	10	0
#2054	13	6	0	0	2	3	0
#6041	17	19	0	5	43	39	0
#377B	5	5	0	0	5	19	0
#7	8	5	0	0	5	0	0
#4	1	3	0	0	0	0	0
#6	11	21	0	2	22	27	0
#12	43	12	0	1	8	13	0
#14	7	20	0	1	19	15	0
#1243	21	0	0	0	2	0	0

with shRNA or inactivated by systemic PAP treatment to enhance axongrowth potential.

667The present study differs in several ways from previous studies, and668takes additional steps toward potential clinical relevance. First, although

669 we used a conditional genetic model for PTEN deletion as did Liu et al.,

the AAV-CRE mediated deletion was accomplished in adult mice at the 670 time of a spinal cord injury. Second, the injury model used in this 671 study was a moderate cervical contusion at C5 centered on the midline 672 of the spinal cord that produced bilateral tissue damage and bilateral 673 function deficits. We chose this injury model for its human relevance. 674 More than 50% of spinal cord injuries are at the cervical level, impairing 675 both lower and upper extremities and the most common type of injury 676 in humans is the contusive type. Moderate C5 contusions resulted in 677 profound bilateral deficits in forelimb motor function. Consistent with 678 our previous studies (Aguilar and Steward, 2010), there was minimal Q40 urine retention even during the early post-injury period. None of the 680 mice exhibited autophagia, and only one mouse exhibited excessive 681 weight loss. General health was acceptable with mice being able to 682 function independently within 5 days post-injury. 683

### Measures of forelimbs' gripping and grasping function

The grip strength meter (GSM) yields quantitative and reproducible 685 measures of the flexor strength of the digits, is simple and minimally 686 stressful and allows independent assessment of each forepaw. Assessment of each forepaw independently was important because AAV-CRE 688 was injected unilaterally into the right motor cortex, allowing comparisons of the recovery of the paw controlled by the PTEN-deleted cortex 690 vs. the contralateral side, which provides an internal control. There was 691 greater recovery by the paw controlled by the injected (right) side of the 692 cortex (the left paw). Grip strength in the left paw of the PTEN-deleted 693 group increased earlier and recovered to a higher level at late postlesion intervals. An underlying assumption is that the laterality of control still exists following PTEN deletion and injury. In this regard, one feature of enhanced CST axon growth is bilateral extension near the injury site, which could disrupt the normal laterality of function.

There was also slightly greater spontaneous recovery of the left paw 699 in injury only and vector control groups, although differences between 700



**Fig. 7.** Regeneration of CST axons after PTEN deletion in PTEN<sup>f,f</sup> mice. Images of 3 serial horizontal sections from the spinal cords starting from the dorsal part (A, D, G) to about 80 μm ventral (C, G, K) to the central canal (B, F, H) in injury only (A–C), AAV-GFP (D–F) and AAV-CRE (G–I) groups. Note: the main component of the dCST was destroyed in all three groups. Abundant axon arbors were present in AAV-CRE group and feŵ spared axons câudal to the lesion were observed in vector control group. Note: BDA labeled axons passing the lesion were observed only in PTEN-deleted group (C). Panels (a), (b) and (c) illustrate higher magnification view; scale bar: 500 μm for A to I panels and 200 μm for a–c.

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Fig. 8. Examples of axon arbors extending from the dlCST into the gray matter caudal to lesion in injury only (A) and vector control (B) groups. The arrows indicate the extended axons from the dlCST into the gray matter; scale bar: 100 µm.

paws were not statistically significant. This could be due to a slight
asymmetry in the lesion that might influence the degree of sparing in
the dlCST. Previous studies showed that spared CST axons could contribute to behavioral recovery following injury (Kartje-Tilllotson et al.,
1987; Thallmair et al., 1998).

706 Data from the hanging task also indicated enhanced forepaw grasping ability in PTEN deleted mice, and the recovery was more pro-707 nounced after 10-12 weeks of testing. We employed the hanging task 708 because it was simple, was guantitative, and produced consistent re-709 sults over time. Contusion injury impaired hanging ability in all three 710 711 groups. In control groups, hanging ability was slightly improved at the 28 day testing point, but did not improve further, whereas hanging abil-712 713 ity continued to increase in the PTEN deleted group indicating continuing recovery. 714

### 715 Relevance of the GSM and hanging task to the CST

Our studies focus on the CST because it is the major pathway control-716 717 ling voluntary motor function, especially forelimb motor function. Studies in humans indicate that the CST mediates fine motor control of the 718 distal arm and hand (Schieber and Rivlis, 2007) and evidence from ex-041 perimental animals supports this conclusion. Lesions of the pyramidal 720 tract in hamsters lead to deficits in execution of precise manipulation 721 722of the digits (Kalil and Schneider, 1975). In rats, damage to the CST in the brain or spinal cord impairs forelimb motor function during skilled 723 movements (Whishaw et al., 1998; Whishaw and Metz, 2002; 042

Anderson et al., 2005; Kanagal and Muir, 2008). However, lesions of 725 the rubrospinal system also impair forelimb function during skilled 726 movements (Muir et al., 2007; Whishaw et al., 1992, 1998; 727 Schrimsher and Reier, 1993) suggesting that other descending pathways could also be important in forelimb recovery. 729

The GSM and hanging task assess flexor and general forelimb 730 strength, and there is evidence that at least the GSM depends on the in-731 tegrity of the sensorimotor cortex in mice (Blanco et al., 2007) and rats 732 (Strong et al., 2009). Nevertheless, it is an open question whether these 733 functions can truly be called "voluntary" in the same sense as the skilled 734 manipulative tasks that are tested by pellet retrieval (Whishaw et al., 735 1992, 1998). In this regard, a companion paper reports the enhanced re-736 covery of forelimb motor function following PTEN deletion and salmon 737 fibrin implantation at the injury site in a task that does involve pellet re-738 trieval (Lewandowski and Steward, 2014).

### Analysis of regenerative growth of CST axons

Analyses of BDA-labeled CST axons revealed that contusion injuries 741 almost completely destroyed the main component of the dorsal CST in 742 all groups. However, we found a small number of BDA-labeled axons 743 in the lateral column in all three groups indicating sparing of the 744 dlCST, which can be a source of sprouting. Histological analysis revealed 745 that in control groups, there were a few axon arbors in the gray matter 746 caudal to the lesion. Studies of mice in which the main tract in the dorsal 747 column had been completely transected at the thoracic level revealed 748



Fig. 9. Examples of axon re-growth in 2 different PTEN-deleted mice. Panel A illustrates BDA labeled axons with a wrong trajectory outside their normal topography. Panel B represents an example where BDA labeled axons extended into the scar between two parts of the fibrous/cystic cavity lesion. The arrows indicate the extended axons into the scar; scale bar: 100 µm.

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Fig. 10. Quantification of CST axons. Panel A shows the axon count diagram. Panels B and C illustrate the axon quantification in dorsal column and lateral column respectively. Note: The number of axons in dorsal and lateral columns was not statistical different among all groups at any given distance caudal to the lesion. Panel D represents the axon arbor quantification in the gray matter below the lesion. The axon arbors were abundant in-PTEN-deleted group when compared with controls. The results are presented as mean  $\pm$  SEM, n = 4–6 per group. Data was analyzed using repeated measures ANOVA with Bonferroni as post-hoc test. Values of \*\*\*p < 0.001, \*\*p < 0.01, and \*p < 0.05 were statistically significant different from control groups.

that some axons coming from the dlCST arborized extensively through 749 the dorsal and ventral horns at caudal levels often extending across 750 the midline to arborize on the contralateral side (Steward et al., 2004). 751 These extensive arbors could reflect sprouting from spared dICST axons. 752

The distribution of BDA labeled axons was different in the PTEN 753 deleted group in two ways. First, there was a bloom of axons rostral to 754 the lesions, and axons extended into and around the lesion with 755 exuberant axon arborization ventrally in the gray matter below the 756 lesion. Second, quantitative assessment of BDA labeled axons showed 757 larger numbers of CST axons caudal to the injury in the PTEN deleted 758 group. 759

The regenerative growth seen here resembles what has been previ-760 ously reported following spinal cord injury with either conditional 761 genetic deletion of PTEN at PO/P1 (Liu et al., 2010) or with AAV-shPTEN 762 injections at P1 to knockdown PTEN (Zukor et al., 2013). The extent of 763 the regenerative growth appears less extensive, however, although direct 764 comparisons are difficult because the site and nature of the injury are 765 different (C5 contusion vs. T8 dorsal hemisection or crush). Further 766 studies will be required to address this issue. 767

In conclusion, the present study demonstrates enhanced recovery of 768 forepaw gripping and grasping function and enhanced regenerative 769 growth of injured CST axons with conditional genetic deletion of PTEN 770 in adult mice shortly after a spinal cord injury. These results suggest 771 that manipulations of PTEN or the downstream mTOR pathway may 772 be a viable target for therapeutic interventions to promote axon 773 regeneration after spinal cord injury. 774

Commercial interest	775
Oswald Steward is one of the co-founders of a company called "Axonis" which holds options on patents relating to PTEN deletion and axon regeneration.	776 777 <b>Q4</b>
Uncited reference	04

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Sun et al., 2011	780
Acknowledgments	781

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